

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 1969-12-31
2. **Agency:** 015
3. **Bureau:** 25
4. **Name of this Investment:** Mint Retail Sales System (RSS)
5. **Unique Project (Investment) Identifier (UPI):** 015-25-01-11-01-1002-00
6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2002

8.
  - a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The United States Mint's Retail Sales System (RSS), formerly the e-Business Solution Project (eSP), was developed to secure services at lower cost through electronic government (E-Government) to meet the numismatic demand and the Mint's business requirement. The primary function of the system is order processing, inventory management, accounting and merchandising. RSS was designed with off-the-shelf software to create a system that supports the Mint's core mission as well as the President's E-Government initiative. Pitney Bowes is a third party fulfillment center located in Indianapolis, IN, that provides order processing that ships orders worldwide and processes returns which are sent back to the appropriate Mint facility. Websphere/Vignette website allows customers to place and track their orders, register for subscription programs, and interact with the Mint. These are the interfaces with the RSS system: \*Oracle enterprise resource planning (ERP) system interfaces with CommercialWare to replenish goods and tracks goods while in-transit from the production sites to Pitney Bowes. \* Treasury's Financial Management Service (FMS) provides credit card authorizations, billing and refunds. There is an interface with the Government-wide Pay.Gov initiative. \* The Citigroup provides the phone interface for quicker batch uploads of sales. \* CAMDATA is a transportable point of sale system for remote sales with its own inventory management system and will function even if the RDC system halts. This project was approved by the Configuration Control Board (CCB) which include functions of the Technical Review Committee (TRC) and the Architectural Review Board (ARB) which includes functions of the Capital Planning and Investment Control (CPIC) and Capital Investment Review Board (CIRB). The CCB provided recommendations for all request of changes and worked with ITRB to ensure the project fully complies with the Mint's Enterprise Architecture and links the project to CPIC, ProSight, and SDLC (TD-84). Final approval is provided by the Executive Steering Committee (ESC) where all final decisions are made. The project conforms with the roadmap linking the EA program to the Mint's strategic plan and aligned the Mint's Lines of Business (LOB) with the OMB's Business Reference Model (BRM).
  - b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**  
2010-09-03
- b. **Provide the date of the most recent or planned approved project charter.** 2009-07-28

10. **Contact information?**

- a. **Program/Project Manager Name:** \*  
**Phone Number:** \*  
**Email:** \*
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** B.B. Craig  
**Phone Number:** \*  
**Email:** \*

**11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA):** Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 2

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	4051	TM-HQ-08-C-0071			*	*	\$71.1	Cost Plus Award Fee	Y	2008-07-22	2012-09-30		

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*

## Part II: IT Capital Investments

### Section A: General

1.
  - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
  - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education. yes
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. This is a legacy system that will be replaced in the near term. Cloud computing will be evaluated as a possible choice when the replacement system is implemented
3. Provide the date of the most recent or planned Quality Assurance Plan 2009-10-01
4.
  - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 000-00-01-00-01-0000-00
  - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 000-00-01-00-01-0000-00
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2009-05-31
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2006-08-14

## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2005 DME -- For hardware replacement, consolidation and upgrade	DME	*	\$1.5	\$1.4	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY 2005 SS	SS	*	\$10.1	\$9.1	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY 2006 SS	SS	*	\$4.8	\$4.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY 2007 SS	SS	*	\$4.8	\$4.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2008 SS	SS	*	\$4.9	\$4.9	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2009 SS	SS	*	\$17.8	\$17.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2010 SS	SS	*	\$15.7	\$15.7	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
FY 2011 SS	SS	*	\$14.9	\$0.0	2010-10-01	2010-10-01	2011-09-30		67.00%	67.00%
FY 2012 SS	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2009-06-30

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*



Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Federal Asset Sales	Annual Sales Numismatic Items	annual	dollars	increase	425000000	2007-01-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	475000000	490500000		2010-09-15
			2008	490500000	577,000,000		2010-09-15
			2009	5 million greater than previous year actuals	447 Million Dollar of Numismatic Sales		2010-09-15
			2010	5 million greater than previous year actuals	395400000	Not Met	2010-11-30
			2011	5 million greater than previous year actuals		Not Due	2010-12-29
			2012	5 million greater than previous year actuals			2010-09-15
Customer Results	Customer Satisfaction	Customer Satisfaction Index Online Catalog	annual	percentage	increase	72.3 Pct Federal Government Aggregate	2007-01-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	77 Percent	82 Percent		2010-09-15
			2008	77 Percent	82		2010-09-15
			2009	77 Percent	88.3 percent for FY09		2010-09-15

Technology	Operations and Maintenance Costs	O & M Costs within 10 percent of budget	2010	77 Percent	88	Met	2010-10-30
			2011	77 Percent		Not Due	2010-12-29
			2012	77 Percent			2010-09-15
			annual	percentage	increase	100 Percent of Budget	2007-01-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Less than 110 Percent of Budget	100.02 Percent of Budget		2010-09-15
			2008	Less than 110 Percent of Budget	100 percent of Budget		2010-09-15
			2009	Less than 110 Percent of Budget	On budget 100 percent of budget		2010-09-15
			2010	Less than 110 Percent of Budget	98% of Budget	Met	2010-11-30
			2011	Less than 110 Percent of Budget		Met	2010-12-29
Processes and Activities	Efficiency	On time delivery of products ordered	2012	Less than 110 Percent of Budget			2010-09-15
			annual	percentage	increase	83 Percent	2007-01-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	84 Percent	94 Percent		2010-09-15
			2008	84 Percent	83.2		2010-09-15

2009	84 Percent	84 % six month rolling average		2010-09-15
2010	84 Percent			2010-09-15
2011	84 Percent			2010-09-15
2012	84 Percent			2010-09-15

\* - Indicates data is redacted.